

Receipt

FILE COPY

Attorney Docket No: GERN-008/02US

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on June 27, 2000.

RECEIVED



By: Hanna Hacham 6-27-00  
Hanna Hacham

AUG 02 2000

TECH CENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of James T. Kealey, et al.

Serial No.: 09/436,060

Examiner:

Filed: November 8, 1999

Art Unit: 1635

For: INHIBITORY POLYNUCLEOTIDES DIRECTED AGAINST THE RNA COMPONENT OF TELOMERASE

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Application Processing Division  
Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

The error to be corrected is as follows:

Please insert under

Continuing Data as Claimed by Applicant

--THIS APPLN IS A CON OF 08/770,564 12/20/96 ABN--

[X] The correction is required due to Patent Office error, and no fee is believed to be required.

True and correct copies of the following documents as filed in the instant application on November 8, 1999 are enclosed:

- (1) Executed Declaration of parent case USSN 08/770,564
- (2) Application Transmittal
- (3) Page 1 of Preliminary Amendment



RECEIVED

AUG 02 2000

TECH CENTER 1600/2900

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication or credit any overpayment to Deposit Account 03-3117:

- ☒ Any national application filing fees under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

A duplicate copy of this sheet is attached for accounting purposes.

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663  
ML:hh

Respectfully submitted,  
COOLEY GODWARD LLP

By:

Marcella Lillis, Ph.D.  
Reg. No. 36,583

ML

## FILING RECEIPT

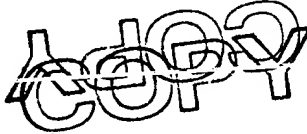
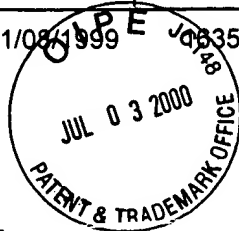


\*OC00000005142285\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

RECEIVED

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND. CLAIMS
09/436,060	11/08/1999	3535	512	GERN-008/02US	1	26	5

AUG 02 2000  
TECH CENTER 1600/2300Cooley Godward LLP  
Attn Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155

Date Mailed: 05/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

James T Kealey, San Anselmo, CA ;  
Ronald Pruzan, Palo Alto, CA ;  
Scott L Weinrich, Redwood City, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 05/25/2000

\*\* SMALL ENTITY \*\*

## Title

Inhibitory polynucleotides directed against the rna component of telomerase

## Preliminary Class

514

Data entry by : KING, DORIS

Team : OIPE

Date: 05/26/2000





Bib Data Sheet



UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3/CFR  
COPY  
RECEIVED

AUG 02 2000

<b>SERIAL NUMBER</b> 09/436,060	<b>FILING DATE</b> 11/08/1999 <b>RULE</b> -	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1635	<b>ATTORNEY DOCKET NO.</b> GERN-008/02US
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

James T Kealey, San Anselmo, CA ;  
Ronald Pruzan, Palo Alto, CA ;  
Scott L Weinrich, Redwood City, CA ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 08/770,564 12/20/1996 ABN

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 05/25/2000**

**\*\* SMALL ENTITY \*\***

Foreign Priority claimed ☐ yes ☒ no  
35 USC 119 (a-d) conditions ☐ yes ☒ no ☐ Met after  
met  
Verified and Allowance  
Acknowledged Examiner's Signature Initials

**STATE OR COUNTRY**  
CA

**SHEETS DRAWING**  
1

**TOTAL CLAIMS**  
26

**INDEPENDENT CLAIMS**  
5

**ADDRESS**

Cooley Godward LLP  
Attn Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto ,CA 94306-2155

**TITLE**

Inhibitory polynucleotides directed against the rna component of telomerase

<b>FILING FEE RECEIVED</b> 512	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

Attorney Docket No: GERN-008/02US

PATENT

Express Mail Label Number:  
Date of Deposit:

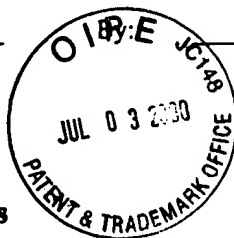
EM356974037US  
November 8, 1999

EM356974037US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date:

8 Nov 99



Vladimir Skliba

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COPY

NEW PATENT APPLICATION TRANSMITTAL

1. Transmitted herewith for filing is a:
  - a. utility patent application
  - b. Inventor(s): James T. Kealey, Ronald Pruzan, and Scott L. Weinrich
  - c. For: INHIBITORY POLYNUCLEOTIDES DIRECTED AGAINST THE RNA COMPONENT OF TELOMERASE
2. Enclosed are:
  - a. ☒ 1 sheet of ☒ informal drawing.
  - b. ☒ 1 copy of executed Declaration(s) and Power(s) of Attorney (filed in the parent case USSN 08/770,564).
  - c. ☒ Copy of Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 filed in parent case USSN 08/770,564.
  - d. ☒ Preliminary Amendment.
  - e. ☒ Change of Correspondence Address.

3. This application is a:

☒ continuation application of U.S. Application Serial No. 08/770,564 filed December 20, 1996.

The filing fee has been calculated as shown below:

☒ Utility application:

COPY

CLAIMS AFTER ENTRY OF ANY AMENDMENTS, LESS ANY CANCELLED CLAIMS

FOR:	Claims Filed			Extra Claims <sup>1</sup>		Small Entity Rate Fee		Other Than a Small Entity Rate Fee		Total Filing Fee
Basic Fee						\$380		\$760		\$380.00
Total Claims	26	-20=	6			\$9		\$18		\$54.00
Independent Claims	5	-3=	2			\$39		\$78		\$78.00
Multiple Dependent Claims Presented						\$130		\$260		\$0.00
TOTAL										\$512.00

<sup>1</sup> If difference is negative, enter "0".

**TOTAL FEES: \$512.00**

☒ Check for the amount of the above indicated TOTAL FEES is attached.

Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, or credit any overpayment to Deposit Account No. 03-3117:


☒ Any national application filing fees under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Cooley Godward LLP  
Attn: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663  
ML\hh

Respectfully submitted,  
COOLEY GODWARD LLP

By:

  
Marcella Lillis, Ph.D.  
Reg. No. 36,583

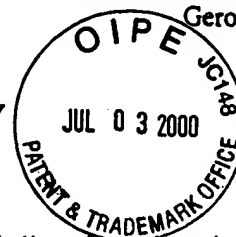
COPY

COPY

Attorney Docket No. 15389-002200

Geron Docket No. 014

## DECLARATION AND POWER OF ATTORNEY



As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **INHIBITORY POLYNUCLEOTIDES DIRECTED AGAINST THE RNA COMPONENT OF TELOMERASE** the specification of which      is attached hereto or X was filed on December 20, 1996 as Application No. 08/770,564 and was amended on      (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <u>  </u> No <u>  </u>
			Yes <u>  </u> No <u>  </u>

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
		<u>  </u> Patented <u>  </u> Pending <u>  </u> Abandoned
		<u>  </u> Patented <u>  </u> Pending <u>  </u> Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Kevin R. Kaster, Reg. No. 32,704  
 Kevin L. Bastian, Reg. No. 34,774  
 John R. Storella, Reg. No. 32,944  
 William M. Smith, Reg. No. 30,223  
 Jonathan Quine, Reg. No. P41,261

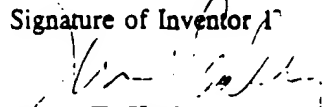
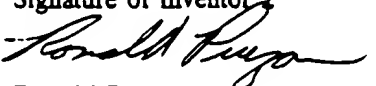
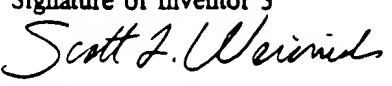
**COPY**

Send Correspondence to: <b>John R. Storella</b> <b>TOWNSEND and TOWNSEND and CREW LLP</b> <b>Two Embarcadero Center, 8th Floor</b> <b>San Francisco, CA 94111-3834</b>	Direct Telephone Calls to: (Name, Reg. No., Telephone No.)  <b>Name: John R. Storella</b> <b>Reg. No.: 32,944</b> <b>Telephone: (415) 576-0200</b>
--	---

Full Name of Inventor 1	Last Name <b>KEALEY</b>	First Name <b>JAMES</b>	Middle Name or Initial <b>T.</b>	
Residence & Citizenship	City <b>San Anselmo</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>9 Mono Lane</b>	City <b>San Anselmo</b>	State/Country <b>California</b>	Zip Code <b>94960</b>
Full Name of Inventor 2	Last Name <b>PRUZAN</b>	First Name <b>RONALD</b>	Middle Name or Initial	
Residence & Citizenship	City <b>Palo Alto</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>852 Matadero Avenue</b>	City <b>Palo Alto</b>	State/Country <b>California</b>	Zip Code <b>94306</b>
Full Name of Inventor 3	Last Name <b>WEINRICH</b>	First Name <b>SCOTT</b>	Middle Name or Initial <b>L.</b>	
Residence & Citizenship	City <b>Redwood City</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>USA</b>	
Post Office Address	Post Office Address <b>1830 Brewster Avenue</b>	City <b>Redwood City</b>	State/Country <b>California</b>	Zip Code <b>94062</b>



I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  James T. Kealey	Signature of Inventor 2  Ronald Pruzan	Signature of Inventor 3  Scott L. Weinrich
Date 3/27/97	Date 3/26/97	Date 3-26-97

asp11538922.dp

COPY

Attorney Docket No: GERN-008/02US

COPY

PATENT

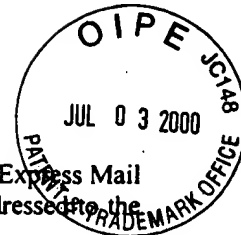
Express Mail Label Number:

EM356974037US

Date of Deposit:

8 NOV 99

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.



Date:

8 NOV 99

By:

Wladimir

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of James T. Kealey, et al.

Serial No.: Not yet assigned

Examiner: Shibuya, M. (in parent case)

Filed: Herewith

Art Unit: 1635 (in parent case)

For: INHIBITORY POLYNUCLEOTIDES DIRECTED AGAINST THE RNA COMPONENT OF TELOMERASE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

### PRELIMINARY AMENDMENT

Prior to examination of the above-identified application, the Examiner is respectfully requested to enter the following amendments.

#### IN THE SPECIFICATION

Please amend the specification by inserting on the line following the title:

-- CROSS REFERENCE TO RELATED APPLICATION

This application is a Continuation of U.S. Application Serial No. 08/770,564, filed December 20, 1996.--.